

**THE UNIVERSITY OF CHICAGO**

# ENGLISH LANGUAGE DECLARATION

Prior Foreign Application(s)

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any issued thereon.

ENGLISH LANGUAGE DECLARATION

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Milton S. Gerstein  
Reg. No. 27,891

Marvin N. Benn  
Reg. No. 26,705

Stephen J. Cassin  
Reg. No. 38,098

Send Correspondence to: Marvin N. Benn, Esq.  
Hamman & Benn  
10 S. LaSalle Street  
Suite 3300  
Chicago, Illinois 60603

Direct Telephone Calls to: Milton S. Gerstein, 312/372-2926

FULL NAME OF SOLE OR FIRST INVENTOR

JAMES KARGMAN

INVENTOR'S SIGNATURE

*James S. Kargman*

DATE

6/10/99

RESIDENCE

2223 N. Burling; Chicago, Illinois 60614

CITIZENSHIP

U.S.A.

POST OFFICE ADDRESS

Same as above

FULL NAME OF SECOND JOINT INVENTOR, IF ANY

Marc Asher

INVENTOR'S SIGNATURE

*Marc Alan Asher*

DATE

6/11/99

RESIDENCE

350 Flora Place; Highland Park, Illinois 60036

CITIZENSHIP

U.S.A.

POST OFFICE ADDRESS

Same as above.

=====

FULL NAME OF THIRD JOINT INVENTOR, IF ANY

DAVID BROWN

INVENTOR'S SIGNATURE

*David Brown*

DATE

6-14-99

RESIDENCE

Pinehurst Gardens, Old Highway 90; Orange, Texas 77630

CITIZENSHIP

U.S.A.

POST OFFICE ADDRESS

Same as above.

FULL NAME OF FOURTH JOINT INVENTOR, IF ANY

William Pallett

INVENTOR'S SIGNATURE

*W. Pallett*

DATE

6-17-99

RESIDENCE

13 Queens Parade, Brandon Hill, Bristol, England ~~BS1 5XJ~~ BS1 5XJ

CITIZENSHIP

British

POST OFFICE ADDRESS

Same as above.

FULL NAME OF FIFTH JOINT INVENTOR, IF ANY

INVENTOR'S SIGNATURE

DATE

RESIDENCE

CITIZENSHIP

POST OFFICE ADDRESS

(Supply similar information for any subsequent joint inventors.)

ASSIGNMENT

FIRST ASSIGNOR: James Kargman

Address: 2223 N. Burling

City: Chicago State/Zip: IL 60614

SECOND ASSIGNOR: Marc Asher

Address: 350 Flora Place

City: Highland Park State/Zip: IL 60036

THIRD ASSIGNOR: David Brown

Address: Pinehurst Gardens, Old Highway 90

City: Orange State/Zip: TX 77630

FOURTH ASSIGNOR: William Pallett

Address: 18 Queens Parade, Brandon Hill

City: Bristol State/Zip: ENGLAND ~~BS1 5XJ~~ BS1 5XJ

ASSIGNEE: National Systems, Inc.

Status: Illinois Corporation

Address: 351 W. Hubbard Street, #501

City: Chicago State/Zip: IL 60610

TITLE OF INVENTION: SYSTEM FOR PLACING ORDERS THROUGH THE

INTERNET TO A SELECTED STORE OF A CHAIN OF STORES

The Assignors having made the above invention and filed application for Letters Patent of the United States thereon, and the Assignee being desirous of acquiring the same; in consideration of One Dollar (\$1.00) and other good and valuable consideration, the Assignors hereby assign to the Assignee, including its successors, assigns, heirs, administrators, all of the Assignors' right, title and interest in and

to the invention and the patent application therefore identified herein and to any and all patents which may evolve therefrom;

The Assignors also assign all right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent in foreign countries on said invention and any Letters Patent which may evolve therefrom, including the right to claim International Convention priority; and

The Assignors agree to execute any papers or perform any acts required to establish, vest or protect the Assignee's rights therein or required by Assignee to obtain said patent, without any additional payment therefor, but without any expense to Assignors.

Date of this document: JUNE 15, 1999

Date of execution of  
U.S. application: \_\_\_\_\_, 1999

Serial Number: 09/276,252 Filed: March 25, 1999, 1999

James Kargman  
FIRST INVENTOR/ASSIGNOR  
JAMES KARGMAN

Marc Asher  
SECOND INVENTOR/ASSIGNOR  
MARC ASHER

David Brown  
THIRD INVENTOR/ASSIGNOR  
DAVID BROWN

William Pallett  
FOURTH INVENTOR/ASSIGNOR  
WILLIAM PALLETT

00007570-112001  
FOOT-3456660

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: JAMES KARGMAN, ET AL.

INVENTION: SYSTEM FOR PLACING ORDERS THROUGH THE INTERNET TO A SELECTED STORE OF  
A CHAIN OF STORES

SERIAL NUMBER: 09/276,252

FILING DATE: MARCH 25, 1999

GROUP ART UNIT:

EXAMINER:

To: The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

DECLARATION CLAIMING SMALL ENTITY STATUS  
SMALL BUSINESS CONCERN

I, James Kargman, hereby declare that I am owner/officer of National Systems, Inc. and that I am empowered to act on behalf of this firm, located at 351 W. Hubbard Street, #501; Chicago, Illinois 60610, and that this firm qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the firm, including those of its affiliates, does not exceed 500 persons. For purposes of this statement: (1) the number of employees of the above-named business concern is the average over the previous fiscal year of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the firm identified above with regard to the above-named invention, which is described and claimed in the attached specification.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business

concern under 37 C.F.R. 1.9(d), or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d), or by a nonprofit organization under 37 C.F.R. 1.9(e), as an [ ] individual, [ ] small business concern or [ ] nonprofit organization.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at

the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate, in accordance with 37 C.F.R. 1.28(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this statement is directed.

Name of Person Signing: James Kargman

Title of Person Other than Owner: President

Signature:

Address of Person Signing: 2223 N. Burling  
Chicago, Illinois 60614

Date: 6/10/99 Attorney Docket No.: 960.062

PAT-024.ARC  
//R//



**IN THE  
UNITED STATES  
PATENT AND TRADEMARK OFFICE**

11017 U.S. PTO  
09/997578  
11/29/01

**IN RE APPLICATION OF:** Kargman et al.

**CASE:** 991813 **CERTIFICATE UNDER 37 CFR 3.73(b)**

**SERIAL NO.:** 09/276,252

**FILED ON:** March 25, 1999

**FOR:** SYSTEM FOR PLACING ORDERS THROUGH  
THE INTERNET TO A SELECTED STORE OF  
A CHAIN OF STORES

**ATTENTION OF:**  
**EXAMINER:**

COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 04-1030.

NATIONAL SYSTEMS CORPORATION certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors and applicants of the patent application identified above. The assignment was recorded at reel/frame 0100058/0861. A copy is attached as Exhibit A.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign the certificate on behalf of the assignee.

LAW OFFICES OF  
**DICK AND HARRIS**  
181 WEST MADISON STREET  
SUITE 3800  
CHICAGO, ILLINOIS 60602  
(312) 726-4000

Richard E. Dick  
Richard D. Harris  
Howard E. Silverman  
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27778  
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Douglas B. Teaney  
Herbert H. Finn  
Christopher S. Clancy  
James K. Cleland

33459  
38139  
44618  
44619

In view of the foregoing, the undersigned respectfully requests acceptance and entry into the records of the United States Patent and Trademark Office of the REVOCATION AND SUBSTITUTE POWER OF ATTORNEY, submitted under separate cover, a copy of which is attached as Exhibit B.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 3/3/2000

Name: James B. Kargman

Title: President

Signature: James B Kargman

**IN THE  
UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**IN RE**

**APPLICATION OF:** Kargman et al.

**CASE:** 991813

**REVOCATION AND SUBSTITUTE  
POWER OF ATTORNEY**

**SERIAL NO:** 09/276,252

**FILING DATE:** March 25, 1999

**FOR:** SYSTEM FOR PLACING ORDERS  
THROUGH THE INTERNET TO A  
SELECTED STORE OF A CHAIN OF  
STORES

**COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231**

**ATTENTION OF:**

Dear Sir:

If any changes or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 04-1030.

NATIONAL SYSTEMS CORPORATION, an Illinois corporation, having a principal place of business at 414 N. Orleans, Chicago, Illinois 60610 as Assignee of the above-captioned patent application, hereby revokes any and all prior powers of attorney heretofore filed and substitutes as its attorneys, Richard D. Harris (Reg. No. 27898), Richard Eugene Dick (Reg. No. 27778), Howard E. Silverman (Reg. No. 32492), John S. Pacocha (Reg. No. 25197), Douglas B. Teaney (Reg. No. 33459), Herbert H. Finn (Reg. No. 38139), Christopher S. Clancy (Reg. No. 44618), James K. Cleland (Reg. No. 44619), and the Law Offices of Dick and Harris.

LAW OFFICES OF

**DICK AND HARRIS**  
181 WEST MADISON STREET  
SUITE 3800  
CHICAGO, ILLINOIS 60602  
(312) 726-4000

Richard E. Dick	27778	Douglas B. Teaney	33459
Richard D. Harris	27898	Herbert H. Finn	38139
Howard E. Silverman	32492	Christopher S. Clancy	44618
John S. Pacocha	25197	James K. Cleland	44619

Accordingly, all subsequent correspondence should be sent to the following  
address:

Howard E. Silverman  
LAW OFFICES OF DICK AND HARRIS  
181 West Madison Street, Suite 3800  
Chicago, Illinois 60602

Respectfully submitted,

Dated: March 3, 2000

  
\_\_\_\_\_  
James Kargman  
President  
National Systems Corporation



07-02-1999



ET

Tah settings ☐ ☐ ☐ ☐ ▼

To the Honorable Commissioner of Patents

101081176

Shed original documents or copy thereof.

1. Name of conveying party(ies):

James Kargman, Marc Asher, JUN 28 1999  
David Brown, William Pallett

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: June 15, 1999

2. Name and address of receiving party(ies)

Name: National Systems, Inc.

Internal Address: \_\_\_\_\_

Street Address: 351 W. Hubbard Street #5C  
Chicago, Illinois 60610

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09/276,252

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Milton S. Gerstein

Internal Address: Hamman & Benn

Street Address: 10 S. LaSalle Street

City: Chicago, State: IL ZIP: 60603

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

7/01/1999 SARAYA 00000117 09276252

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Milton S. Gerstein

Name of Person Signing

Signature

June 20, 1999

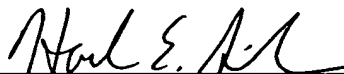
Date

Total number of pages including cover sheet, attachments, and document:

**CERTIFICATE OF MAILING**

In Re Apln of: Kargman et al.  
Case No. 991813  
Serial No.: 09/276,252  
Filed: March 25, 1999  
For: SYSTEM FOR PLACING ORDERS THROUGH THE INTERNET  
TO A SELECTED STORE OF A CHAIN OF STORES  
Date of Deposit : March 10, 2000


I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail postage pre-paid under 37 C.F.R. §1.8, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the above date.

  
\_\_\_\_\_

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

In Re Apln of: Kargman et al.  
Case No. 2011783  
Serial No.: to be assigned  
Filed: November 29, 2001  
For: SYSTEM FOR PLACING ORDERS THROUGH THE INTERNET  
TO A SELECTED STORE OF A CHAIN OF STORES  
Date of Deposit : November 29, 2001

I hereby certify that this **CONTINUATION PATENT APPLICATION OF SERIAL NO. 09/276,252** is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 C.F.R. §1.10, postage prepaid, in an envelope addressed to: Box Patent Application, U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, Virginia 22202 on the above date.

  
\_\_\_\_\_  
Angela A. Ocasio

MAR 30 2000



\*OC00000005013447\*


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/276,252	03/25/1999	JAMES KARGMAN	960.062

Howard E. Silverman  
Law Offices of Dick and Harris  
181 West Madison Street  
Suite 3800  
Chicago, IL 60602

Date Mailed: 03/28/2000

**NOTICE REGARDING POWER OF ATTORNEY**

 This is in response to the Power of Attorney filed 3-23-00.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Customer Service Center

~~Initial Patent Examination Division (703) 308-1202~~

2700

ATTORNEY/APPLICANT COPY